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PTO/SB/21(08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/772,796	
	Filing Date	November 25, 2003	
	First Named Inventor	Phui Qui Nguyen	
	Group Art Unit	1734	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	96	Attorney Docket Number	FA1216 US NA

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Forms PTO/SB/08A and PTO/SB/08B (With Copies of Foreign References, European Search Report)</li><li>• Certificate of Mailing</li><li>• Receipt Card</li></ul>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

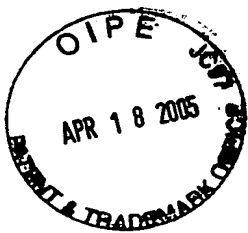
Firm or Individual name	Hilmar L. Fricke, Registration No. 22,384
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Date	April 13, 2005

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Phui Qui Nguyen et al.

Application No: 10/772,796

Filed: November 25, 2003

For: PROCESS FOR MULTILAYER  
COATING OF SUBSTRATES

Group Art Unit: 1734

Examiner: Unknown

Atty. Docket No: FA1216 US NA

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**BEFORE MAILING DATE OF A FIRST OFFICE ACTION ON THE**  
**MERITS (37 CFR § 1.97(b)(3))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Forms PTO/SB/08A and PTO/SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This statement is being filed within the time period specified in 37 CFR §1.97(b)(3). Applicant believes that no fee is required.

Applicant submits herewith Forms PTO/SB/08A and PTO/SB/08B identifying in the European Search Report dated March 16, 2005:

EP 0630952 A1	Dow Corning
EP 1391492 A1	E. I. DuPont
WO 02/090001 A2	E. I. DuPont
WO 03/016411 A1	BASF Coating (also published as US 2004/0235997A1)
WO 03/106055 A1	E. I. DuPont
WO 03/106578 A1	E. I. DuPont

A copy of the European Search Report is enclosed.

The Examiner is requested to consider and to make record herein of all the references cited by or to the Office in connection with the listed applications. In accordance with MPEP 609 (III)(A)(2) (pursuant to USPTO OG Notices of August 05, 2003 stating that "Information Disclosure Statements May Be Filed Without Copies of

U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003"), Applicant has not included copies of the U.S. Patents listed on the enclosed Forms PTO/SB/08A and PTO/SB/08B.

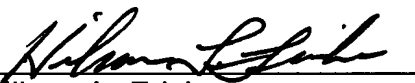
Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Forms PTO/SB/08A and PTO/SB/08B, and to indicate in the official file wrapper of this patent application that the documents have been considered.

As this information is being submitted prior to the issuance of a First Office Action, Applicant respectfully submits that in accordance with 35 U.S.C § 1.97 (b)(3) neither a fee, nor a statement is currently due. However, if there is a fee due which is unaccounted for, please charge such fee to Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company).

Respectfully submitted,

By:   
Hilmar L. Fricke  
Attorney for Applicant  
Reg. No.: 22,384  
Telephone: (302) 984-6058  
Facsimile: (302) 658-1192

Dated: April 13, 2005



PTO/SB/08B (08-03)  
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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	10/772,796
Filing Date	November 25, 2003
First Named Inventor	Phui Qui Nguyen
Art Unit	1734
Examiner Name	Unknown
Attorney Docket Number	FA1216 US NA

Sheet 2 of 2

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		European Search Report No. EP 04025734, Mailed: 3/16/2005.	

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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**Complete if Known**

Application Number	10/772,796
Filing Date	November 25, 2003
First Named Inventor	Phui Qui Nguyen
Art Unit	1734
Examiner Name	Unknown
Attorney Docket Number	FA1216 US NA

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

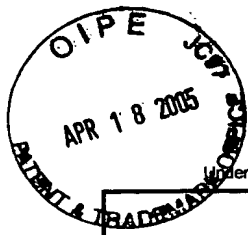
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0630952 A1	12-28-1994	Dow Corning		
		EP 1391492 A1	02-25-2004	E. I. DuPont		
		WO 02/090001 A2	11-14-2002	E. I. DuPont		
		WO 03/016411 A1 (Abstract)	02-27-2003	BASF Coating	See US2004/0235997	
		WO 03/106055 A1	12-24-2003	E. I. DuPont		
		WO 03/106578 A1	12-24-2003	E. I. DuPont		

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Ellen M. Godfrey

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Application No: 10/772,796  
Filing Date: November 25, 2003  
Title: Process for Multilayer Coating of Substrates  
Attorney Docket: FA1216 US NA

- Transmittal Form
- Supplemental Information Disclosure Statement
- Forms PTO/SB/08A & PTO/SB/08B (With Copies of Foreign References and European Search Report)
- Receipt Card